# Association of Functional Dyspepsia with Depression and Anxiety: A Systematic Review

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Received: 17.12.2020 Accepted: 02.03.2021

#### **ABSTRACT**

**Background & Aims**: Functional dyspepsia (FD) symptoms may lead to depression or anxiety in affected individuals and vice versa. These individuals often have more serious somatic symptoms, longer disease recovery time, and tend to consume more medical resources and health care costs. Therefore, recognition of depression and anxiety is crucial to improve clinical outcome in FD patients. The aim of this study is to systematically review the association of functional dyspepsia with depression and anxiety.

**Methods**: This systematic review was reported following the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) criteria. A Literature search was carried out with PubMed and ProQuest databases from 1 January 2010 to 5 October 2020. The outcomes of interest were association of functional dyspepsia with depression and anxiety. The quality of each study was assessed using the Joanna Briggs Institute (JBI) tool.

Results: A total of 13 studies involving 14,076 subjects were included in this review. Almost all of the studies showed that prevalence of depression or anxiety is higher in patients with FD compared to controls. This is implied by a higher mean score on the depression and anxiety questionnaire assessment tools or a positive correlation in the odds ratio. FD is known to affect more females than males, but psychological links were stronger in males. Moreover, prevalence of depression and anxiety symptoms in patients with refractory FD (63.3% and 61.5%) was higher compared to non-refractory FD (20.9% and 23.3%) and healthy patients (10% and 10%).

**Conclusion**: There is a significant association of FD with depression and anxiety. Thus, identifying psychological factors in FD patients is essential to help clinicians determine the best choice of treatment and improve the prognosis and quality of life of the patients.

Key words: functional dyspepsia – depression – anxiety – psychiatric disorder – systematic review.

Abbreviations: FD: functional dyspepsia; FGID: functional gastrointestinal disorders; BDI: Beck Depression Inventory; BAI: Beck Anxiety Inventory; HAMD: Hamilton Depression Rating Scale; HAMA: Hamilton Anxiety Rating Scale; HADS: Hospital Anxiety and Depression Scale; SAS: Self-Rating Anxiety Scale; SDS: Self-Rating Depression Scale.

#### INTRODUCTION

Functional dyspepsia (FD) is one of the most common functional gastrointestinal disorders (FGID), with an estimated prevalence of up to 29.2% [1]. Functional dyspepsia symptoms were frequently found in health check-up patients [2]. Many studies suggest that FD can interfere with patients' quality of life [3-6]. Disruptions of quality

of life could cause many disadvantages, such as decreased performance in daily activities, lack of energy, decreased social activities, and loss of interest in life. Moreover, the health care costs of dyspepsia for society are substantial due to health care seeking, medication, and sick leave costs. Based on a retrospective analysis of payroll and medical health insurance data of more than 300,000 employees over four years, those with FD had higher medical costs and absenteeism and lower productivity than those without FD [7]. Functional dyspepsia patients also inflict significant direct and indirect health costs. A total mean direct yearly annual cost to FD patients was \$699, which places an economic burden upon the health care system [8].

Many factors are known to be associated with FD, one of which is psychiatric disorders [9]. A study by Haug et al. [10] reported that 34% of FD patients had a psychiatric diagnosis [10]. Anxiety and depression are among the many psychiatric illnesses that are associated with FD. Anxiety disorders are diagnosed in 38% of FD patients, while depressive disorders are diagnosed in 16% of FD patients [11]. The pathophysiology in FD comorbid with depression or anxiety is known to result from the brain-gut pathway alteration, and the disturbance is bidirectional [12]. Psychiatric disorders are thought to cause FD symptoms due to corticotropin-releasing hormone stress response, leading to the release of cytokine and could cause gastroduodenal dysfunction [13]. On the other hand, FD symptoms are thought to cause anxiety or depression due to a cytokine response in low-grade gut inflammation, which is postulated to have an important role in driving psychological stress in FD patients [14, 15]. We can conclude that FD patients comorbid with anxiety or depression are trapped in a vicious cycle. Without proper treatment, both FD and anxiety or depression will continue to get worse and more difficult to treat. Many studies have found a significant association between depression, anxiety, and FD [16, 17]. Functional dyspepsia patients with anxiety and depression comorbidity often have more serious somatic symptoms, longer disease recovery time, and tend to consume more medical resources and health care costs [8, 18, 19].

It is important to take a holistic approach to FD patients in order to get appropriate treatment. Recognition and treatment of psychiatric comorbidities of FD may be crucial to improve clinical outcome in these patients and alleviate the economic burden upon the health care system. In order to get further insight of clinical relevance of this association, we conducted this review to provide a basis for identifying patients with functional dyspepsia at higher risk of anxiety and depression, and thus will help determine targeted interventions and improve the prognosis and quality of life of these patients.

#### **METHODS**

This study was performed following the Preferred Reporting Items for Systematic Reviews and Meta-Analysis (PRISMA) criteria [20].

A literature search was carried out with PubMed and ProQuest databases from 1 January 2010 to 5 October 2020 using a search strategy that included the terms for 'dyspepsia', 'indigestion', 'depression', and 'anxiety', and 'psychiatric' as presented in Table I. No language restrictions were applied. The authors manually reviewed the reference lists of all articles of interest to identify potential additional studies.

Using the PICOS criteria, studies were included if they: (1) published observational studies that investigated the association between functional dyspepsia and depression

and anxiety in individuals >17 years old from 2010-2020; (2) diagnosis of FD was assessed by Rome III or Rome IV criteria; (3) depression was assessed by Self-Rating Depression Scale (SDS), Beck Depression Inventory (BDI), Hamilton Depression Rating Scale (HAMD), and Hospital Anxiety and Depression Scale (HADS) questionnaires; and (4) anxiety was assessed by Self-Rating Anxiety Scale (SAS), Beck Anxiety Inventory (BAI), Hamilton Anxiety Rating Scale (HAMA), and Hospital Anxiety and Depression Scale (HADS) questionnaires. To assess the quality of articles and avoid bias, case reports, review articles, letters, unpublished articles, or studies that were carried out on clinical patients were excluded.

Articles were identified using the key words described above. After removing duplicates using the EndNote X9 program, retrieved articles were screened based on their titles and abstracts. Thereafter, potentially eligible full-text articles were thoroughly assessed using the eligibility criteria described above. Any emerging discrepancies were resolved by consensus among the review team.

The following data were extracted from the studies: (1) first author; (2) country; (3) publication year; (4) study design; (5) age at baseline year; (6) sample size; (7) methods of dyspepsia assessment; (8) methods of depression assessment; and (9) methods of anxiety assessment.

The quality of each study was assessed independently by three authors (T.E., S.D., F.G.S.) using the Joanna Briggs Institute (JBI) tool which was used to assess the quality of the included studies: cross sectional, case-control, and cohort studies [21]. Three authors (T.E., S.D., F.G.S.) independently evaluated whether a study had low or some concerns or high risk of bias with any discrepancies resolved through discussion. The questionnaire included nine questions for cross sectional study, ten questions for case control study and eleven questions for cohort study. The questions contained sample selection, measurement of the condition, identifying confounding factors and strategies to deal with them, outcome assessment criteria and statistical analysis method used. These questions required a yes, no and unclear response. According to these criteria, authors classified the quality of each study as follows: 1) low risk of bias if 3 or less criteria were not met for cross sectional and case control, 4 or less criteria were not met for cohort 2) medium risk of bias if 4-6 criteria were not met for cross sectional and case control, 5-7 or less criteria were not met for cohort or 3) high risk of bias if 7-10 criteria were not met for cross sectional and case control, 8-11 or less criteria were not met for cohort.

# **RESULTS**

#### Literature search and characteristics of the included studies

Database searches retrieved 622 articles from two electronic databases (PubMed, ProQuest). A total of 13 articles fulfilled

Table I. Search key words

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Databases	Keywords						
PubMed	("Dyspepsia"[MeSH Terms] OR "Dyspepsia"[Title/Abstract] OR "Indigestion"[Title/Abstract]) AND ("Depression"[MeSH Terms] OR "Depression"[Title/Abstract] OR "Anxiety"[MeSH Terms] OR "Anxiety"[Title/Abstract] OR "Psychiatric"[Title/Abstract])						
ProQuest	(su(Dyspepsia OR Indigestion) OR ab(Dyspepsia OR Indigestion) OR ti(Dyspepsia OR Indigestion)) AND (su(Depression OR Anxiety OR Psychiatric) OR ti(Depression OR Anxiety OR Psychiatric))						

the inclusion criteria. The selection process is presented as a flow diagram according to the Preferred Reporting Items for Systematic Reviews (Fig. 1). Table II shows a summary of the studies that have been included. Of the 13 studies included in our analysis, five were case-control studies [22-26], seven were cross-sectional studies [27-33], one was a cohort study [34]. Eight studies were carried out in Asia, three in North America, and two in Europe. The selection process is presented as a flow diagram according to the PRISMA Criteria (Fig. 1).

Studies included in this review recruited adults with ages ranging from 17 to 65 years old. The total number of samples in this study were 14,076 subjects. The assessment for psychiatric disorder and functional dyspepsia used in each study were varied, but the results show a similar pattern. For the assessment of depression and anxiety, the majority of the studies used HADS (eight studies) [23–26, 29–31, 33, 34], followed by HAMD/HAMA (two studies) [22, 24], SDS/SAS (two studies) [27, 28], and BDI/BAI (one study) [32]. Most of the included studies used Rome III criteria as a standard diagnostic for functional dyspepsia, while others used different diagnostic tools. In the retrospective study by Adibi et al., [30] modified Rome III criteria was used and some questions from the Talley Bowel Disease questionnaire were added. Huang et al. [27] used the Rome IV criteria.

#### Risk of bias assessment

All thirteen studies included in this review are considered as low risk of bias. Indeed, there are several reasons that could cause

a slight bias among these studies. Some studies were suspected to have some confounding factors and there were unclear strategies to deal with it [27–29, 34]. A few studies neither describe clearly the criteria of inclusion and exclusion, nor the study subject and setting details [30, 33]. In every case control study, there was no clear data regarding the exposure time, so it was not known whether it was sufficient enough to influence the outcome or not [22-26]. In a cohort study, sample follow up was incomplete and there were no strategies addressed to incomplete follow up [34]. Despite all these reasons, it could be ascertained that these reasons did not cause significant disruption to the results since there were only a few factors that biased each study.

# Outcomes of included studies regarding depression and anxiety

Nine studies reported a mean score on the depression and anxiety questionnaires [22, 24-30, 33]. Almost all studies showed higher mean scores on depression and anxiety questionnaires in patients with functional dyspepsia compared to healthy subjects. As in the study by Huang et al. [27], both mean SAS (t=6.944) and SDS (t=6.751) scores were higher in the FD group than in the healthy group (p<0.01) [27]. A previous study done by Huang et al. [28] in 2014 stated that mean SAS scores were significantly higher in the FD group (p<0.05) compared to scores among the general Chinese population. A study by Zhang et al. [22] showed similar results with mean HAMD and HAMA scores significantly higher in FD patients compared to scores of the control group (p<0.01) [22].

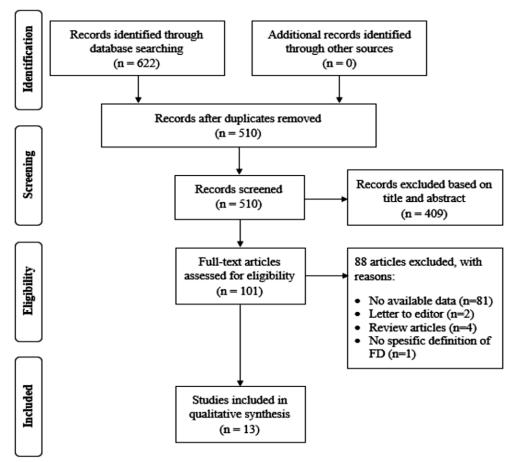


Fig. 1. Flow diagram of the literature search

Hartono et al. [25] conducted a study to examine the differences in the prevalence and severity of anxiety and depression in patients with FD. Results show that HADS-A (p<0.001) and HADS-D (p=0.03) scores among FD patients were higher than those of the control group. Two other studies by Lacy et al. [26] and Choi et al. [29] also support the previous study by showing significant differences between mean scores of HADS in the FD group compared to the control group (p<0.001).

Some studies reported variables that were slightly different from others. As in the study by Adibi et al. [30], the FD group was divided into two subgroups based on gender. The prevalence of FD was more in females than male, but psychological effects were stronger in males. Of all participants, mean scores of depression (p<0.001), anxiety (p<0.001), and psychological distress (p<0.001) were significantly higher in participants with FD than in the control group. Another study by Jiang et al. [24] divided FD into two subgroups based on the duration of disease and severity, namely refractory FD (RFD) and nonrefractory FD (NRFD) [24]. The prevalence of depression and anxiety symptoms in this study was higher in patients with RFD (63.3% and 61.5%) than in patients with NRFD (20.9% and 23.3%) or healthy volunteers (10% and 10%). Similarly, HAMA (p=0001) and HAMD (p=0.003) scores, which represent the severity of depression and anxiety symptoms respectively, were also significantly higher in patients with RFD compared to patients with NRFD and healthy volunteers.

Furthermore, four studies reported the odds ratio (OR) between depression and anxiety with functional dyspepsia [23, 31, 32, 34]. A study by Matsuzaki et al. [23] showed that the severity of anxiety was associated with the presence of FD symptoms (OR=1.87). Moreover, studies by Pinto-Sanchez et al. [31] and Seyedmirzaei et al. [32] also showed a positive correlation in the OR between depression (OR=1.94, 2.13), anxiety (OR=2.18, 1.65) and FD. A ten-year follow-up cohort study by Aro et al. [34] found that anxiety at baseline was associated with seven fold risk of new onset FD. Characteristics and outcomes of included studies are summarized and presented in Table II.

# **DISCUSSION**

The majority of the included studies showed a significant association of FD with depression and anxiety [23, 25–33, 35]. Studies have shown that the mean scores of depression and anxiety are significantly higher among FD patients than in healthy people [24, 27-30]. A systematic review conducted by Hojo et al. [36] showed that treatment with anxiolytics or antidepressants in FD patients improve dyspeptic symptoms significantly. Moreover, a randomized-controlled trial study conducted by Nakamura et al. [37] showed that acotiamide, a drug used for treating postprandial distress syndrome, improves anxiety symptoms in FD patients. A twelve-month therapy with cisapride, a prokinetic drug, had also been shown to significantly improve the depression and anxiety scales in patients with severe dyspepsia [38]. These findings showed an association of FD with depression and anxiety.

Although evidence for an association of FD with depression and anxiety is convincing, the mechanism remains

incompletely understood. One of the potential mechanisms involved was through the bidirectional brain-gut pathway. The gut alters brain-inducing anxiety mechanism is through cytokine release secondary to low-grade gut inflammation, which alters central nervous system functioning and induces anxiety [14]. Meanwhile, anxiety induces a corticotropin-releasing hormone stress response that activates eosinophils, promotes eosinophil degranulation, and leads to the release of cytokines such as TNF-alpha in FD, altering gastroduodenal function [13, 35]. A trial study by Geeraerts et al. [39] reported that experimentally induced anxiety alters gastric sensorimotor function, including decreased gastric compliance, impaired gastric accommodation to a meal, and increased epigastric symptom scores during a standard nutrient challenge in healthy volunteers.

However, a study performed by Dibaise et al. [33] showed that although the mean scores of anxiety and depression are higher among FD patients than controls, the difference was not statistically significant. This might be because the study included patients who reported having FD symptoms but did not meet Rome III criteria as controls. These patients might have higher depression and anxiety scores than healthy controls, making the score difference between the FD patients and controls insignificant.

A previous study carried out by Adibi et al. [30] showed that the prevalence of FD is less in males than females. Regardless of FD status, females experience depression and anxiety more frequently and more intensely than males [30]. Another study by Choi et al. [29] also showed that female patients have higher mean anxiety and depression scores than male patients, with the severity of epigastric pain correlating with anxiety scores only in female FD patients. One of the most critical factors characterizing an individual biologically male and female is the sex hormone. The sex hormone, in particular estrogen, might play a role in the control of motor and sensory functions of the gastrointestinal tract through direct or indirect action on immune, endocrine, and neuronal pathways as well as interactions with the gut microbiota [40]. The periodic changes in the female sex hormone can affect visceral nociception and cause a change in gastric motility [41]. Estrogen also affects women's emotions and moods. Therefore, female sex hormones might affect visceral pain and gastric motility, supporting the female gender as a risk factor of FD [42].

Functional dyspepsia patients with psychological distress were found to have greater symptom severity, longer recovery time, increased health care costs, and healthcare-seeking behavior [8, 18, 19, 43, 44]. A study by Jiang et al. [24] showed that mean depression and anxiety scores are higher in patients with RFD compared to patients with NRFD or healthy controls [24]. This implies that anxiety and depression might predispose unresponsiveness to treatment among FD patients or that RFD patients have a higher risk of developing depression and anxiety. Another study found that FD patients with normal anxiety and depression scores used a median of two drugs. In comparison, those with higher anxiety and depression scores used a median of three drugs [44]. Furthermore, individuals with FD have a lower quality of life as their activities had been limited because of their symptoms. Thus, it is essential to pay more attention to psychological factors in FD patients.

Table II	Characteristics and outcome of the studies included	

Study	Country	Year	Study design	Sample size (N)	Sample characteristics	Assessment of dyspep- sia	Assessment of depres- sion	Assessment of anxiety	Outcome	Risk of bias assess- ment
Huang et al. [27]	China	2020	Cross sectional	Total: 759	Age: 18.39 ± 1.00 Male: 287 Female: 472	Rome IV	SDS>50	SAS>50	Depression (SDS) FD: 47.81 ± 9.18 Controls: 41.85 ± 8.07 p<0.01 Anxiety (SAS) FD: 45.39 ± 7.72 Controls: 40.24 ± 7.36 p<0.01	Low (7/8)
Zhang et al. [22]	China	2020	Case control	FD: 40 Controls: 40	FD: Age: 41.95 ± 10.94 Male: 14 Female: 26 Controls: Age: 43.48 ± 10.86 Male: 17 Female: 23	Rome III	HAMD	HAMA	Depression (HAMD) FD: 8 (4-12) Controls: 2.5 (1-4) p<0.01 Anxiety (HAMA) FD: 6 (3.25 - 7.75) Controls: 3 (1-5.75) p<0.01	Low (9/10)
Matsuzaki et al. [23]	Japan	2017	Case control	FD: 40 Controls: 78	FD: Age: NR Gender: NR Controls: Age: NR Controls: NR	Rome III	HADS>8	HADS>8	Depression (HADS) p>0.05 OR=1.01 Anxiety (HADS) p<0.05 OR=1.87	Low (9/10)
Choi et al. [29]	Korea	2017	Cross sectional	FD: 104 Controls: 87	FD: Age: 50.5 ± 11.3 Male: 39 Female: 65 Controls: Age: 54.9 ± 12.1 Male: 38 Female: 49	Rome III	HADS> 7	HADS> 7	Depression (HADS) FD: $8.4 \pm 0.6$ Controls: $5.9 \pm 0.4$ p=0.001 Anxiety (HADS) FD: $7.4 \pm 0.7$ Controls: $5.1 \pm 0.4$ p=0.005 Total HADS score FD: $15.8 \pm 1.2$ Controls: $11.0 \pm 0.8$ p=0.001	Low (7/8)
Adibi et al. [30]	Sweden	2016	Cross sectional	Total: 4,763	Age: 36.87 ± 8.09 Male: 2,016 Female: 2,657	Modified Rome III	HADS≥11	HADS≥11	Depression (HADS) Males: FD: $8.0 \pm 4.0$ Controls: $5.2 \pm 2.9$ p<0.001 Females FD: $8.6 \pm 3.6$ Controls: $6.2 \pm 3.2$ p<0.001 Anxiety (HADS): Males FD: $6.0 \pm 4.3$ Controls: $2.5 \pm 3.0$ p<0.001 Females FD: $6.7 \pm 3.5$ Controls: $3.5 \pm 3.5$ p<0.001	Low (7/8)
Dibaise et al. [33]	USA	2015	Cross sectional	FD: 151 Controls: 58	FD: Age: 46 ± 17 Male: 34 Female: 117 Controls: Age: 48 ± 17 Male: 15 Female: 43	Rome III	HADS>8	HADS>8	Depression (HADS) FD: 7.37 ± 4.36 Controls: 6.71 ± 4.56 p=0.331 Anxiety (HADS) FD: 7.83 ± 4.23 Controls: 6.81 ± 4.06 p=0.117	Low (7/8)
Pinto Sanchez et al. [31]	Canada	2015	Cross sectional	Total: 2,400	Age: $48.27 \pm 17.22$ Male: 899 Female: 1501	Rome III	HADS	HADS	Depression (HADS) OR=1.94 Anxiety (HADS) OR=2.18	Low (8/8)

Table II (con	tinued)									
Jiang et al. [24]	China	2015	Case control	FD: 1,341 RFD: 327 NFRD: 1,014 Controls: 100	FD: Age: 40.17 ± 11.72 Male: 554 Female: 787 RFD: Age: 41.91 ± 11.30 Male: 127 Female: 200 NFRD: Age: 39.60 ± 11.81 Male: 427 Female: 587 Controls: Age: 39.83 ± 12.42 Male: 45 Female: 55	Rome III	HAMD≥7	HAMA≥8	Depression (HAMD) RFD: 11.65 ± 6.51 NRFD: 7.15 ± 3.34 Controls: 1.66 ± 2.50 p=0.003 Anxiety (HAMA) RFD: 10.84 NRFD: 6.83 ± 3.53 Controls: 2.26 ± 2.68 p=0.001	Low (8/10)
Aro et al. [34]	Swedish	2015	Cohort	Total: 703	Age (baseline): 53 Age (follow up): 63.2 Male: 338 Female: 365	Rome III	HADS≥8: suspected HADS≥11: major	HADS≥8: suspected HADS≥11: major	Depression (HADS) FD at baseline OR=1.03 FD at follow-up OR=4.59 Anxiety (HADS) FD at baseline OR=3.67 FD at follow-up OR=7.32	Low (8/11)
Huang et al.[28]	China	2014	Cross sectional	Total: 907	Age: NR Male: 549 Female: 358	Rome III	SDS>53	SAS>50	Depression (SDS) FD: 51.57 ± 8.82 Anxiety (SAS) FD: 51.04 ± 7 .53 p<0.05	Low (7/8)
Seyedmirzaei et al. [32]	Iran	2014	Cross sectional	Total: 2,210	Age: 43.4 ± 16.25 Male: 1049 Female: 1161	Rome III	BDI>15	BAI>7	Depression p<0.0001 OR=2.13 Anxiety (BAI) p=0.004 OR=1.65	Low (8/8)
Hartono et al. [25]	Malaysia	2012	Case control	FD: 62 Controls: 62	FD: Age: 50 ± 14.3 Male: 22 Female: 40 Controls: Age: 52.9 ± 9.9 Male: 25 Female: 37	Rome III	HADS≥8	HADS≥8	Depression (HADS) FD: $5.1 \pm 3.7$ Controls: $3.2 \pm 3.2$ p=0.03 Anxiety (HADS) FD: $7.53 \pm 4.2$ Controls: $3.9 \pm 3.4$ p<0.001	Low (7/10)
Lacy et al. [26]	USA	2011	Case control	FD: 121 Controls: 50	FD: Age: 50 ± 15 Male: 22 Female: 99 Controls: Age: 44 ± 11 Male: 4 Female: 46	Rome III	HADS	HADS	Depression (HADS) FD: 11.45 ± 4.57 Controls: 3.19 ± 3.34 p<0.001 Anxiety (HADS) FD: 15.42 ± 8.01 Controls: 6.59 ± 4.35 p<0.001	Low (9/10)

SDS: Self-Rating Depression Scale; SAS: Self-Rating Anxiety Scale; FD: functional dyspepsia; HAMD: Hamilton Depression Rating Scale; HAMA: Hamilton Anxiety Rating Scale; HADS: Hospital Anxiety and Depression Scale; BDI: Beck Depression Inventory; BAI: Beck Anxiety Inventory.

#### Strengths and limitations

This study has several strengths. First, this study is arguably the most recent update about this topic. This is the first review that includes papers using Rome IV criteria, the most updated diagnostic criteria for FD, as a diagnostic tool. Second, all included studies are considered as low risk of bias, implying the results were more credible. Also, this review includes a large number of samples.

However, this study also has several limitations. First, only observational studies were included, thus our study only provides an association rather than causation. Second, a more diverse sample from every continent is required in this review to be representative of the worldwide population. The

included studies in this review mostly originate in Asia, only a few studies were conducted in America and Europe and no study came from Africa and Australia.

#### **CONCLUSIONS**

Based on the data obtained from 13 studies, we conclude a significant association of FD with depression and anxiety. As depression and anxiety seem to play a role in the pathophysiology of FD, it is essential to identify psychological factors in FD to help clinicians determine the best choice of treatment and improve the prognosis and quality of life of the patients. Further studies assessing psychological factors

and treatment strategies for FD are required to confirm the relationship of FD with depression and anxiety.

Conflicts of interest: None to declare.

**Authors' contributions:** T.E. designed the study, contributed to the selection of the studies, and wrote the article. S.D., F.G.S contributed to the selection of the studies by performing database searching, extraction of data, and wrote the article. G. contributed to conception and design of the study and to final revision of the manuscript. All authors approved the final draft submitted.

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